OIPE JC102 JUL 17 2003 PATENT & TRADEMARK OFFICE

RECEIVED JUL 21 2003 TC 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application	PATENT APPLICATION
Inventor(s): Charles E. Taylor et al.	
SC/Serial No.: 10/023,460	Art Unit: 1711
Confirm. No.: 3479	Examiner: Thao T. Tran
Filed: December 13, 2001	
Title: ELECTRO-KINETIC AIR TRANSPORTER CONDITIONER WITH PIN-RING ELECTRODE CONFIGURATION	**Customer No. 23910**

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Commissioner for Patents, Washington, DC 20231**, on July 17, 2003.

(Attorney Signature)

Jeffrey R. Kurin, Reg. No.
Signature Date: July 17, 2003

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

✓ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.

✓ A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited

in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

___ ***PTA Statement under 37 C.F.R. §1.704(d).*** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

This statement should be considered because:

✓ **37 C.F.R. §1.97(b)**. This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

(1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);

-- OR --

(2) It is being filed within 3 months of entry of a national stage;

-- OR --

(3) It is being filed before the mailing date of the first Office Action on the merits,

-- OR --

(4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

___ **37 C.F.R. §1.97(c)**. Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

(1) It is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND *(check at least one of the following)* --

___ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).

-- OR --

___ (2) It is accompanied by the $180 fee set forth in 37 C.F.R. §1.17(p).

___ **37 C.F.R. §1.97(d)**. Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

(1) It is being filed on or before payment of the issue fee;

-- AND --

(2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);

-- AND --

(3) It is accompanied by the $180 fee set forth in 37 C.F.R. §1.17(p).

✓ ***Fee Authorization.*** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER DUBB MEYER & LOVEJOY LLP

Date: 7/17/03 By: _____
Jeffrey R. Kurin, Reg. No. 41,132

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone (415) 362-3800

OIPE
JUL 17 2003
PATENT & TRADEMARK OFFICE

Form PTO-1449 (Substitute)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use several sheets if necessary)

Attorney Docket Number	Serial/Patent Number
SHPR-01041USJ	10/023,460
Applicant/Patent Owner: Charles E. Taylor et al.	
Filing/Issue Date: December 13, 2001	Group Art Unit: 1711

RECEIVED
JUL 21 2003
TC 1700

U.S. PATENTS

Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
		3,581,470	6/1/1997	Aitkenhead et al.	55	138	12/30/1969
		4,092,134	5/30/1978	Kikuchi	96	51	6/3/1976
		4,244,710	1/13/1981	Burger	55	6	5/9/1978
		4,253,852	3/3/1981	Adams	55	126	11/8/1979
		4,318,718	3/9/1982	Utsumi et al.	55	121	7/14/1980
		4,357,150	11/2/1982	Masuda et al.	55	6	2/5/1981
		4,509,958	4/9/1985	Masuda et al.	55	132	10/8/1982
		4,516,991	5/14/1985	Kawashima	55	124	5/14/1985
		4,686,370	6/11/1987	Blach	250	423 R	2/13/1985
		4,689,056	8/25/1987	Noguchi et al.	55	138	8/29/1986
		4,726,812	2/23/1988	Hirth	55	2	3/26/1987
		4,772,297	9/20/1988	Anzai	55	106	8/19/1986
		4,781,736	11/1/1988	Cheney et al.	55	132	11/20/1986
		4,786,844	11/22/1988	Farrell et al.	315	111.21	3/30/1987
		Re. 33,927	5/19/1992	Fuzimura	55	129	9/15/1989
		5,296,019	3/22/1994	Oakley et al.	96	95	8/24/1992
		5,669,963	9/23/1997	Horton et al.	96	77	12/26/1995
		5,698,164	12/16/1997	Kishioka et al.	422	121	3/30/1995
		6,544,485 B1	4/8/2003	Taylor	422	186.04	1/29/2001

OIPE JUL 17 2003 PATENT & TRADEMARK OFFICE TC 1700

RECEIVED JUL 21 2003 TC 1700

U.S. PATENT PUBLICATIONS

Examiner Initial		Patent Application Publication Number	Publication Date	Applicant

PENDING U.S. PATENT APPLICATIONS

Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes \| No	

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans-lation Yes \| No	

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

Examiner	Date Considered

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*1 = Copy not submitted because it was submitted in prior application SN __/_____, filed _____, 20___, relied on under 35 USC §120.
*2 = Copy not submitted because it was submitted in prior application SN __/_____, filed _____, 20___, relied on under 35 USC §120.